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financial executives
international
dirigeants financiers internationaux
canada

CONNECTING FINANCIAL EXECUTIVES THROUGH
KNOWLEDGE EXCHANGE
PEER NETWORKING
ADVOCACY

APPLICATION FOR EXECUTIVE MEMBERSHIP

Please complete this application form and mail the address below or fax it to 416-366-3008.
Online registration is available at www.feicanada.org.

FINANCIAL EXECUTIVES INTERNATIONAL CANADA

1201 — 170 UNIVERSITY AVENUE, TORONTO, ONTARIO M5H 3B3
Phone (416) 366-3007 Toll Free (866) 677-3007 Fax (416) 366-3008

MEMBERSHIP ELIGIBILITY CRITERIA

CORPORATE SIZE

Chief Financial Officers and Audit Committee members of publicly traded companies automatically qualify to join. All other applicants **MUST** be employed by an organization that meets one of the following criteria (*please check only one*)

- \$5 million net worth, *or*
- \$15 million capital (combined net worth and long-term debt), *or*
- \$20 million annual operating expense, *or*
- \$50 million annual revenue, *or*
- Banking Institution with at least \$500 million in total assets, *or*
- Government Corporation/Authority with at least \$100 million in total assets, *or*
- Assistant Financial Executives** — Company size is at least 4 (*four*) times the above corporate criteria, *or*
- Senior specialists** — Company has at least \$500 million in revenues or is a banking institution with \$20 billion in assets, *or*
- Employee Benefits Specialists** - must be employed by a company with at least \$250 million of employee benefit investment

Duties And Responsibilities

If you are

- Chief Financial Officer**
- Treasurer**
- Vice President Finance**
- Controller**

or their equivalent within your organization structure, of your organization, please SKIP TO PAGE #3.

If you do not have one of the above four titles, please check the appropriate box below in addition to pages 3 & 4

Senior Specialist

Must occupy the senior policy-making position in a corporation and report to the Chief Executive Officer, Chief Financial Officer, Vice President Finance, Treasurer, or higher, *and* must be solely responsible for at least one of the secondary functions listed below. (*Please check your area(s) of responsibility.*)

- Employee Benefits** **Investor Relations.**

Assistant Financial Executives

Must report to the Chief Financial Officer, Vice President Finance, Controller or Treasurer and share the duties and responsibilities of a financial executive who qualifies for membership. The applicant must be responsible, either solely or on a shared basis, for at least five of the activities in one of the primary functions or at least half of the activities in any two of the primary or secondary functions listed below. (*Please check your areas of responsibility below.*)

PRIMARY FUNCTIONS:

Accounting and Control

- Establishment of accounting policies
- Public financial reporting
- Regulatory reporting
- Report and interpretation of results and operations to management
- Cost accounting, analysis and valuation of assets
- Systems and procedures
- Comparison of performance with operating plans and standards

Treasury and Finance

- Establishment and execution of programs for the provision of the capital required by the business
- Management and investment of cash
- Maintenance of banking arrangements
- Receipt, custody and disbursement of monies and securities
- Credit and collection management
- Use of financial instruments e.g. hedges, swaps
- Investor relations

SECONDARY FUNCTIONS:

Planning and Business Development

- Long and short range financial and corporate planning
- Budgeting for capital expenditures and/or operations
- Sales forecasting
- Performance evaluation
- Pricing policies
- Economic appraisal
- Analysis of acquisitions and divestments

Management of Retirement Funds and Investments

- Management, funding and investment of employee benefit plan assets

Tax Administration

- Establishment and administration of tax policies and procedures
- Relations with taxing agencies
- Preparation of tax reports
- Tax planning

Risk management

- Assure protection of business assets and loss prevention
- Provision of insurance coverage as required
- Exposure analysis and control

Information Systems

- Development and use of data processing facilities
- Development and use of information systems (including voice and data)
- Development and use of systems and procedures

Internal Audit

- Financial audits
- Operational audits
- EDP systems audits
- Other special investigations of a financial nature

Name of person you report to: _____ Title: _____

FEI Canada dues and initiation fees are normally deductible as a business expense and not as a charitable contribution for income tax purposes. Please advise your employer that membership is on an individual basis and may not be transferred to another person. You may, however, transfer your membership affiliation to any FEI chapter in Canada or the United States.

PERSONAL INFORMATION (this information will be used for all contact with you from FEI Canada)

Mr. Mrs. Ms Dr. First Name Initial Last Name

Title Company

Address City Prov. Postal Code

Work Phone: Home Phone: Fax:

Check preference Work Email Other Email:

Date of Birth: Gender: Years in Finance:

Education

- College Diploma
- Undergraduate
- Master's Degree
- PhD
- Other: _____

- CA
- CGA
- CFA
- CFP
- CMA
- CPA
- Other _____

Choose your Chapter:

- Atlantic Provinces
- Quebec
- National Capital Region
- Toronto
- Southern Golden Horseshoe
- Southwest ON
- Winnipeg
- Regina
- Calgary
- Edmonton
- Vancouver

Professional Designations

Please tell us how you learned about FEIC:

- Chapter Referral Mailing Email Web Browsing
- Friend -- Name of Friend: _____
- Other Means (specify): _____ Promo Code: _____

II. DEMOGRAPHICS

Primary Industry

Check the primary area of business that applies to your company:

- | | | | |
|---|---|---|--|
| <input type="checkbox"/> Advertising | <input type="checkbox"/> Consulting | <input type="checkbox"/> Hospitality & Recreation | <input type="checkbox"/> Printing |
| <input type="checkbox"/> Aerospace/Defense | <input type="checkbox"/> Consumer Goods | <input type="checkbox"/> Information Technology | <input type="checkbox"/> Professional Services |
| <input type="checkbox"/> Agriculture | <input type="checkbox"/> Distribution | <input type="checkbox"/> Insurance | <input type="checkbox"/> Publishing |
| <input type="checkbox"/> Automotive | <input type="checkbox"/> Educational Institutions | <input type="checkbox"/> Internet/Multimedia | <input type="checkbox"/> Real Estate |
| <input type="checkbox"/> Manufacturing | <input type="checkbox"/> Electronic | <input type="checkbox"/> Leasing | <input type="checkbox"/> Retail |
| <input type="checkbox"/> Banking/Financial Services | <input type="checkbox"/> Employment Agency | <input type="checkbox"/> Marketing | <input type="checkbox"/> Social Services |
| <input type="checkbox"/> Capital Products (Equipment) | <input type="checkbox"/> Energy/Utilities | <input type="checkbox"/> Medical/Pharmaceutical | <input type="checkbox"/> Transportation |
| <input type="checkbox"/> Chemical/Plastics | <input type="checkbox"/> Engineering | <input type="checkbox"/> Metals | <input type="checkbox"/> Telecommunications |
| <input type="checkbox"/> Communications/Media | <input type="checkbox"/> Environmental | <input type="checkbox"/> Mineral/Mining | <input type="checkbox"/> Technology |
| <input type="checkbox"/> Computer Service | <input type="checkbox"/> Food/Restaurant | <input type="checkbox"/> Nonprofit Organizations | <input type="checkbox"/> Venture Capital |
| <input type="checkbox"/> Construction | <input type="checkbox"/> Forestry/Fishing/Hunting | <input type="checkbox"/> Oil & Gas | <input type="checkbox"/> Wholesale |
| | <input type="checkbox"/> Government/Public Sector | <input type="checkbox"/> Payroll | <input type="checkbox"/> Other _____ |
| | <input type="checkbox"/> Healthcare Services | <input type="checkbox"/> Personal Service | |

Net Worth

- Less than \$50 Million
 - \$50 Million to \$99 Million
 - \$100 Million to \$499 Million
 - \$500 Million to \$999 Million
 - \$1 Billion to \$5 Billion
 - \$5 Billion to \$20 Billion
 - Over \$20 Billion
- Exact Figure* _____
*to the nearest million

Assets

- Less than \$50 Million
 - \$50 Million to \$99 Million
 - \$100 Million to \$499 Million
 - \$500 Million to \$999 Million
 - \$1 Billion to \$5 Billion
 - \$5 Billion to \$20 Billion
 - Over \$20 Billion
- Exact Figure* _____
*to the nearest million

Revenue

- Less than \$50 Million
 - \$50 Million to \$99 Million
 - \$100 Million to \$499 Million
 - \$500 Million to \$999 Million
 - \$1 Billion to \$5 Billion
 - \$5 Billion to \$20 Billion
 - Over \$20 Billion
- Exact Figure* _____
*to the nearest million

Pension Fund

- Defined benefit
- Defined contribution
- Group RSP
- Other _____
- No

Number of Employees

- Less than 500 Employees
- 500 to 999 Employees
- 1,000 to 2,499 Employees
- 2,500 to 4,999 Employees
- 5,000 to 19,999 Employees
- More than 20,000 Employees

Primary Accounting Firm

- Deloitte & Touche LLP
- Ernst & Young LLP
- KPMG
- PriceWaterhouseCoopers LLP
- Other _____

Stock Exchanges

- | | | |
|--------------------------------------|---|---|
| <input type="checkbox"/> TSX | <input type="checkbox"/> OTC Bulletin Board | <input type="checkbox"/> Paris (CAC) SE |
| <input type="checkbox"/> TSX Venture | <input type="checkbox"/> Australian SE | <input type="checkbox"/> Frankfurt (DAX) SE |
| <input type="checkbox"/> NYSE | <input type="checkbox"/> Nikkei | <input type="checkbox"/> Other _____ |
| <input type="checkbox"/> American SE | <input type="checkbox"/> Hang Seng | <input type="checkbox"/> n/a |
| <input type="checkbox"/> NASDAQ | <input type="checkbox"/> London SE | |

Company Type

- Public
 Private
 Subsidiary or division of a public company

- Subsidiary or division of a private company
 Public Subsidiary of a private parent
 Not-for-profit

- Government
 Education
 Income trust
 Crown Corps

Company Country of Origin

- Canada United States United Kingdom Other EU _____ Other _____

Role Codes (select only one)

- | | | |
|--|---|--|
| <input type="checkbox"/> Chief Financial Officer | <input type="checkbox"/> Assistant Treasurer | <input type="checkbox"/> Dean |
| <input type="checkbox"/> VP Finance | <input type="checkbox"/> Assistant Controller | <input type="checkbox"/> Assistant/Associate Dean |
| <input type="checkbox"/> Treasurer | <input type="checkbox"/> Audit Committee Member | <input type="checkbox"/> Professor |
| <input type="checkbox"/> Contoller | <input type="checkbox"/> President, Chief Executive | <input type="checkbox"/> Assistant/Associate Professor |
| <input type="checkbox"/> Director of Finance | <input type="checkbox"/> Chief Operating Officer | <input type="checkbox"/> Senior Specialist |
| <input type="checkbox"/> Assistant VP Finance | <input type="checkbox"/> Chairman | <input type="checkbox"/> Other |

Areas of Expertise/Industry Experience (click all that apply)

- | | | | |
|---|--|---|---|
| <input type="checkbox"/> Activity Based Costing | <input type="checkbox"/> Financial Analysis | <input type="checkbox"/> Initial Public Offering | <input type="checkbox"/> Regulated Industry |
| <input type="checkbox"/> Asset/Portfolio Management | <input type="checkbox"/> Financial Planning | <input type="checkbox"/> Joint Ventures | <input type="checkbox"/> Retail |
| <input type="checkbox"/> Audit | <input type="checkbox"/> Forecasting | <input type="checkbox"/> Leveraged Buyout | <input type="checkbox"/> Risk Management |
| <input type="checkbox"/> Banking | <input type="checkbox"/> Foreign Currency | <input type="checkbox"/> Leasing | <input type="checkbox"/> Sales |
| <input type="checkbox"/> Benchmarking | <input type="checkbox"/> Foreign Governments | <input type="checkbox"/> Long Range Planning | <input type="checkbox"/> Securities Commissions |
| <input type="checkbox"/> Budgets | <input type="checkbox"/> General Accounting | <input type="checkbox"/> Mergers & Acquisitions | <input type="checkbox"/> Securities |
| <input type="checkbox"/> Business Partner | <input type="checkbox"/> General Management | <input type="checkbox"/> Marketing | <input type="checkbox"/> Startup |
| <input type="checkbox"/> Cash Management | <input type="checkbox"/> Government Contracts | <input type="checkbox"/> Management Information Systems | <input type="checkbox"/> Strategic Planning |
| <input type="checkbox"/> Consulting | <input type="checkbox"/> Human Resources | <input type="checkbox"/> Multi-Plant | <input type="checkbox"/> Taxes – Individual |
| <input type="checkbox"/> Contracts | <input type="checkbox"/> Import/Export | <input type="checkbox"/> Operations | <input type="checkbox"/> Taxes – Commodity |
| <input type="checkbox"/> Control | <input type="checkbox"/> Insolvency & Business Restructuring | <input type="checkbox"/> Profit & Loss | <input type="checkbox"/> Taxes – Corporate |
| <input type="checkbox"/> Cost Accounting | <input type="checkbox"/> Insurance | <input type="checkbox"/> Pensions | <input type="checkbox"/> Total Quality Management |
| <input type="checkbox"/> Credit /Collection | <input type="checkbox"/> IFRS | <input type="checkbox"/> Portfolio Management | <input type="checkbox"/> Transfer Pricing |
| <input type="checkbox"/> FASB | <input type="checkbox"/> Investments | <input type="checkbox"/> Real Estate | <input type="checkbox"/> Treasury |
| <input type="checkbox"/> Finance | <input type="checkbox"/> Investor Relations | <input type="checkbox"/> Reengineering | <input type="checkbox"/> Turnaround |

FEI Canada CODE OF ETHICS

FEI Canada's mission includes significant efforts to promote ethical conduct in the practice of financial management throughout the world. Senior financial officers hold an important and elevated role in corporate governance. While members of the management team, they are uniquely capable and empowered to ensure that all stakeholders' interests are appropriately balanced, protected and preserved. This Code provides principles to which members are expected to adhere and advocate. They embody rules regarding individual and peer responsibilities, as well as responsibilities to employers, the public, and other stakeholders. Violations of FEI Canada's Code of Ethics may subject the member to censure, suspension or expulsion under procedural rules adopted by FEI Canada's Board of Directors.

All members of FEI Canada will:

- ✓ Act with honesty and integrity, avoiding actual or apparent conflicts of interest in personal and professional relationships.
- ✓ Provide constituents with information that is accurate, complete, objective, relevant, timely and understandable.
- ✓ Comply with applicable rules and regulations of federal, state, provincial, and local governments, and other appropriate private and public regulatory agencies.
- ✓ Act in good faith, responsibly, with due care, competence and diligence, without misrepresenting material facts or all owing one's independent judgment to be subordinated.
- ✓ Respect the confidentiality of information acquired in the course of one's work except when authorized or otherwise legally obligated to disclose. Confidential information acquired in the course of one's work will not be used for personal advantage.
- ✓ Share knowledge and maintain skills important and relevant to constituents' needs.
- ✓ Proactively promote ethical behavior as a responsible partner among peers, in the work environment and the community.
- ✓ Achieve responsible use of and control over all assets and resources employed or entrusted.
- ✓ Report known or suspected violations of this Code in accordance with the FEI Rules and Procedure.
- ✓ Be accountable for adhering to this Code.

APPLICATION I hereby apply for membership in Financial Executives International Canada and certify that I meet the admission criteria as set out in this form. When admitted, I agree that my business and personal conduct shall at all times be entirely consistent with the Code of Ethics of the Institute and in compliance with the Bylaws and all rules adopted by the Board of Directors.

Date

Signature

PAYMENT METHOD

Enclosed is my cheque or money order in the amount of **\$1,200 + applicable provincial taxes** payable to FEI Canada

(\$525 annual dues + \$225 chapter dues + \$450 one-time initiation fee + applicable provincial taxes:

*AB, MB, PE, SK: \$1,200 + \$60 GST = **\$1,260.00***

*BC: \$1,200 + \$144 GST = **\$1,344.00***

*QC: \$1,200 + \$154.50 GST/QST = **\$1,354.50***

*NB, NL, ON: \$1,200 + \$156 GSH/HST = **\$1,356.00***

*NS: \$1,200 + \$180 GST/HST = **\$1,380.00***)

GST Registration #107383002RT, QST # R1206801472

Please charge \$ _____ to my Visa MasterCard American Express

Card Number _____ Expiry Date _____

Card Holder's Name _____ Card Holder's Signature _____